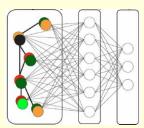




Deep Learning by Example on Biowulf

Class #6. Graph Convolutional Networks, handling imbalanced data and their application to classification of cancer types

Gennady Denisov, PhD



Handouts: https://hpc.nih.gov/training/handouts/DL_by_Example6_20250225.pdf

Intro and goals

graph, nodes / vertices, links / edges, feature, node degree

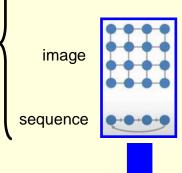
Question: how do we <u>represent</u> and <u>learn</u> the structure of data?

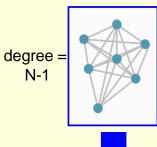


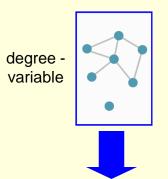
Representation

Data with regular structure (classes #1,2,4,5)

Gene expression data (class #3) - could be represented by a complete graph Data with irregular structure: should be represented by a graph







Learning

Convolutional or Recurrent layers

Dense layers

Graph Convolutional (+ Graph Pooling) layers

Non-bio examples:

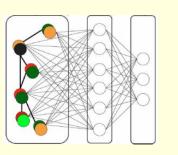
Social network: nodes = individuals, edges = connections Citation network: nodes = documents, (directed) edges = citations





nodes = genes; edges = associations between genes; data similar to those of class #3, but the tasks / approaches are different





- b4---1

Bioimage

synthesis /

developmental

biology

Generative

Adversarial

Unsupervised

		Examples overview					
		https://hpc.r	nih.gov/training/	deep_learning_	_by_example		
Class #	1	2	3	4	5		

Genomics /

prediction of

function of

non-coding

DNA

Recurrent

or 1D-

Convolutional

Supervised

Bioimage

segmentation

/ fly brain

connectome

Convolutional

Supervised

so their performances can be compared

Bio

app

Neural

network

type

ML

type

	nttps://npc.nin.gov/training/deep_learning_by_example.ntn							
Class #	1	2	3	4	5			

Genomics /

reduction of

dimensionality

of cancer

transcriptome

Autoencoder

Unsupervised

- Supervised ML like in classes #1 and #2, but the data are similar to those in #3

- 1st of two classes to discuss GNNs; primary purpose: to demystify the GCNs

- "Transition" examples: they can be handled by both GCNs and MLP/DenseNet,

- Why Graph? It imposes constraints / provides additional knowledge about the

data, which allow for more accurate model predictions as compared to the constraint agnostic models, e.g. MLP, as will be illustrated with a toy example



6

Genomics /

classification

of cancer

types

Graph

Convolutional

Supervised

GCN

Drug

molecule

design

Reinforcement

Learning

Reinforcement

	CHI
	78
CXX	
	XXX
0	$\Theta / /$

_XX	
$\mathcal{C}^{\mathcal{K}}$	X
	017
0	

Drug

molecule

property

prediction

Message

Passing,

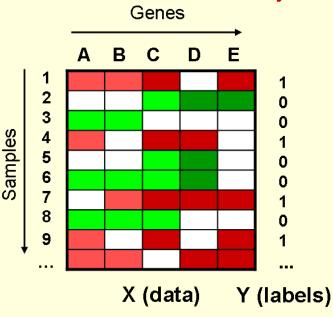
Transformer

Supervised

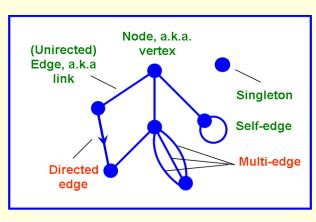
MPN

Prototype/toy example #1: the graph classification task

gene expression matrix, node features, undirected graph, singletons, adjacency matrix, graph classification task



Modules =
$$[[0,0,0,1,1]]$$
, $[0,0,1,1,1]$



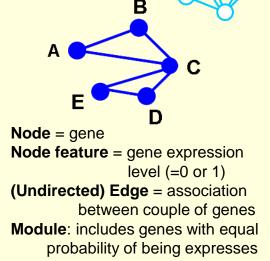
Graph notation

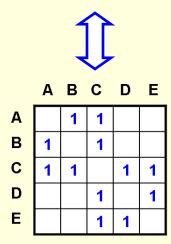
Input:

Gene expression data matrix generated randomly "on the fly", with rows = **samples**, columns = **genes** and **values** =1 (gene is expressed, shown in color) **or** =0 (gene is unexpressed, shown as white). The samples can be of two types: "**normal**" (label=0) or "**tumor**" (label=1). In each the type of samples, genes are associated into **two modules**, designated 0 and 1, with the same probability of expression for all genes in a module, but different probabilities across different modules.

Task:

Train a graph convolutional **network model** on this data, so that it could **predict the class labels** for new, previously unseen samples.



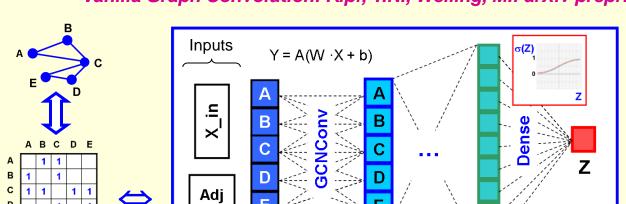


Adjacency matrix:

- symmetric (⇔ no directed edges)
- values ≤ 1 (⇔ no multi-edges)

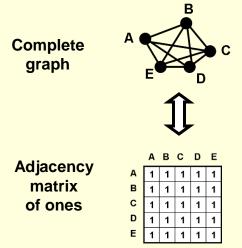
Prototype example #1 (cont.): GCNConv vs Dense layer

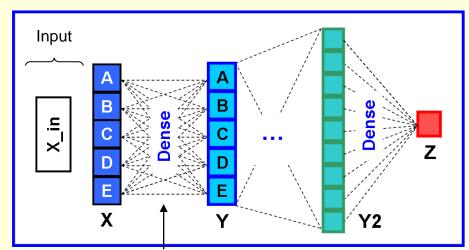
Vanilla Graph Convolution: Kipf, T.N., Welling, M.: arXiv preprint (2016)



Graph
Convolutional
Network
(GCN)

Missing links: A-D, A-E, B-D, B-E,D-A, E-A, D-B, E-B. The corresponding weights are constrained to 0





MultiLayer Perceptron (MLP)

No missing links / zero weights in the fully connected layer.

CONCLUSION: Dense layer can be regarded as GCNConv layer with adjacency matrix of ones.

Prototype example #1 (cont.): GCNConv vs Conv1D / Conv2D layers

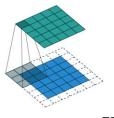


filtering, k-hop neighborhood, pooling, supernode, parent-child relationship

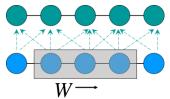
2D Convolution with 'same' padding

1D Convolution with 'same' padding

Output image Y



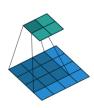
 $Y_i = \sum_{kornol} w_{ij} * X_{ij} + b$

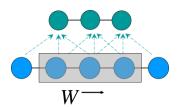


Input image X

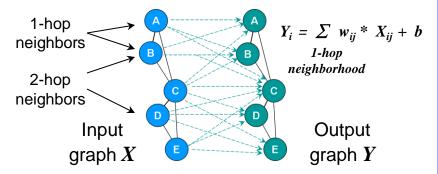
2D Convolution with 'valid' padding

1D Convolution with 'valid' padding

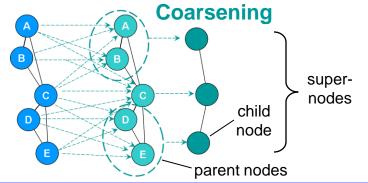




Filtering, a.k.a. Graph Convolution in the narrow sense



1) Filtering 2) Pooling, a.k.a.



CONCLUSION: filtering performed by the GCNConv layer is analogous to Conv1D / Conv2D with 'same' padding and kernel of size = 3

NOTE: later on in this class, we will discuss yet another flavor of Graph Convolutional layer / transformation, the Chebyshev Convolution, which may be regarded as an analog of Conv1D / Conv2D with kernel of size > 3.

Prototype example #1 (cont.): training code

GCN: Kipf, T.N., Welling, M.: arXiv preprint (2016)

Spektral: https://graphneural.network

Header

- tensorflow
- spektral
- graph_net

Get data

- modules
- adj matrix
- samples
- x_train
- labelsy train

Define a model:

- Functional API
- GCNConv vs Dense
- loss and optimizer

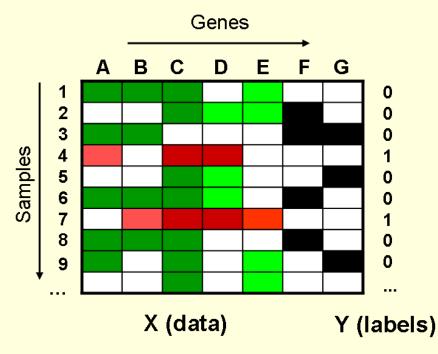
Run the model

- fit

```
denisovga@biowulf:/usr/local/apps/DLBio/class6/bin
                                                                                  ×
       tensorflow as tf, spektral, numpy as np, scipy.stats as stats
graph_net, n_g, n_train, bsize, epochs, prob=1, 5, 700, 50, 100, 0.7
np.random.seed(1); tf.random.set_seed(1)
                                                                                1 ⇒ GCN
                                                                   graph net =
                                                                                0 \Rightarrow MLP
adj = np.zeros([n_g,n_g])
 or k in range(2):
    for i in range(n_g):
        for j in range(n_g):
                      == i and modules[k][i] == modules[k][j]:
probs = [[prob, L.-prob], [prob, L.-prob]]
x_{train,y_{train}} = [],[]
 or s in range(n_train):
    sample, t = [], np.random.choice([0,1], p=(0.5, 0.5))
    for g in range(n_g):
        sample.append(np.random.choice([0,1], 1,
               p=[probs[t][modules[t][g]],1.-probs[t][modules[t][g]])[0])
    x_train.append(sample)
    v train append(t)
x_{train}, y_{train} = np.array(x_{train}, dtype=float), np.array(y_{train}, dtype=float)
adj_train = np.array([adj for s in range(n_train)])
      = tf.keras.layers.Input(shape = (n_g,1))
      = tf.keras.layers.Input(shape = (n_g, n_g,), sparse=False)
   graph_net == 1:
    Y = spektral.layers.GCNConv(n_g, activation='relu')([X,A])
    Y = tf.keras.layers.Dense( n_q, activation='relu')(X)
      = tf.keras.layers.Flatten()(Y)
      = tf.keras.layers.Dropout(0.1)(Y_1)
      = tf.keras.layers.Dense(1, activation='sigmoid')(Y_2)
           .keras.models.Model(inputs=[X, A], outputs=Z)
model.compile(loss='bce', optimizer='ada
model.summary()
model.fit([x_train, adj_train], y_train, epochs=epochs, batch_size=bsize),
                                                                                   Top
```

Prototype/toy example #2: is there a benefit in using GCN over MLP?





Two additional hyperparameters:

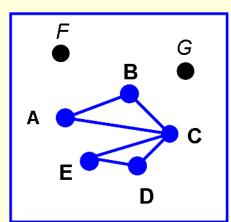
Imbalance ratio, IR (≥ 1)

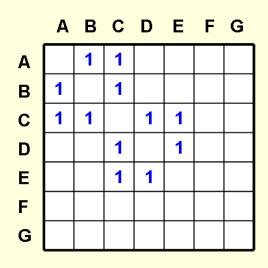
= # green samples / # red samples

Number of singletons, n_s (≥ 2)

= # of genes that are not associated / co-expressed with other genes

Undirected graph with singleton genes





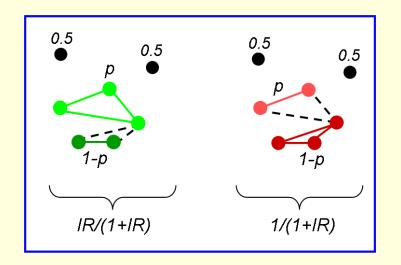
Adjacency matrix with singleton genes

Predictions from the prototype example #2

Parameterization of the gene expression probabilities

% correct predictions for p = prob = 0.7





	IR	=1	IR=10	
n_s	GCN	MLP	GCN	MLP
2	76	76	50.8	50.8
10	72	72	48.7	48.7
50	70.9	67.1	51.8	53.1
100	69.4	64.9	50.0	51.0
200	69	63.2	49.3	54.2

CONCLUSIONS:

- the presence of singtletons and the data imbalance are two dominant reasons for classification error
- IR=1: the constraints imposed on singletons by the adjacency matrix in GCN allow for attenuation of the effect of "noise" introduced by the presence of singletons, and hence for a better performance of the GCN over the constraint-agnostic MLP on balanced data
- IR=10: since MLP possesses more adjustable parameters than GCN, it provides more flexibility in handling the challenge of data imbalance, and therefore can outperform the GCN on imbalanced data

How to run the prototype/toy models on Biowulf?



```
denisovga@biowulf:/data/denisovga/1_DL_Course/0_Intro
                                                              ×
                                                          sinteractive --gres=gpu:p100:1
module load DLBio/class6
ls $DLBIO_BIN
gcn_basic.py gcn_imbalanced,singletons.py
gcn_basic.py
Epoch 500/500
20/20 [========================] - Os 1ms/step - loss: 0.6122
correct= 696 / 1000
gcn_imbalanced,singletons.py
Epoch 500/500
correct= 508 / 1000
                                              23,35
                                                          Top
```

Biological example #6:

GCN_Cancer: Classification of Cancer Types Using Graph Convolutional Networks



R.Ramirez et al., Frontiers in Physics (2020)



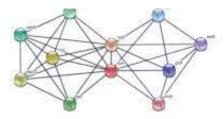
NIH program led by the NCI and NHGRI

GCN_Cancer input: RNA-seq data for

- 731 normal samples and
- 10,340 tumor samples representing 33 types of cancer

Goal: classify each sample as one of 34 types

The STRING database



STRING = Search Tool for the Retrieval of INteracting Genes/proteins

Protein-protein interaction (PPI) / association confidence scores

 used to generate adjacency matrices for the settings involving only protein coding genes

Input data:

- GE levels
- adjacency matrices

GCN_Cancer pipeline (reimplemented in Keras from Tensorflow):





train.py



predict.py



visualize.R

Balance training data; optionally, compute custom adjacency matrices

Use ~80% of ground truth data

Predict sample type using ~20% of data

Bar plots of prediction error by sample type

Overview of the GCN_Cancer code

https://hpc.nih.gov/apps/GCN_Cancer.html

Header

- imports, incl.Spektral
- parsing command line options

<u>Define model(s)</u>

- GCN_Cancer model
- GCNConv layer
- ChebConv layer

Get data

- GCE, GCES
- PPI, PPIS
- data balancing
- SMOTE variants

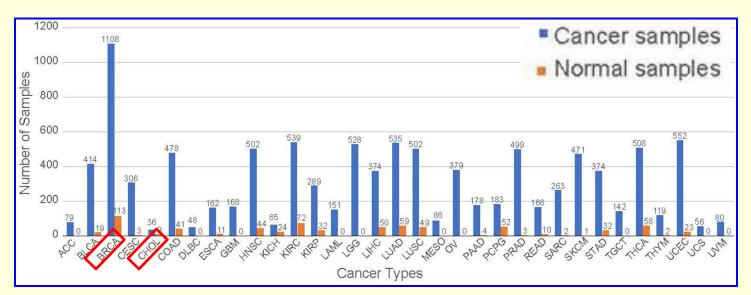
Run the models

classification error

```
denisovga@biowulf:/data/denisovga/1_DL_Course/6_GCNs
mport os, sys
 port tensorflow as tf
 port spektral
mport options, data, models
  __name__ == '__main__':
   opt = options.parse_training_arguments()
   opt = options.parse_command_line_arguments("train")
   os.environ['CUDA_VISIBLE_DEVICES'] = "0"
for j in range(1, opt.num_gpus):
       os.environ['CUDA_VISIBLE_DEVICES'] += "," + str(j)
   strategy = tf.distribute.MirroredStrategy()
   with strategy.scope():
       model = models.get_model(opt)
   opt, data_size, data_train = data.get_data(opt)
   if opt.load_weights:
           model.load_weights(opt.checkpoint_file)
       except:
           sys.exit("\nCannot read weights from: " + opt.checkpoint_file)
   checkpointer = tf.keras.callbacks.ModelCheckpoint(filepath=
                       opt.checkpoint_file, save_weights_only=True)
   num_steps = int(round(float(data_size)/float(opt.batch_size)))
   loader = spektral.data.BatchLoader(data_train, batch_size=opt.batch_size)
   model.fit(loader.load(), epochs=opt.num_epochs,steps_per_epoch=num_steps,
             batch_size=opt.batch_size, shuffle=True, callbacks=[checkpointer])
```

The GCN_Cancer data

R.Ramirez et al., Frontiers in Physics (2020)





RNA-seq data

- highly imbalanced
- normal samples from23 tissues only

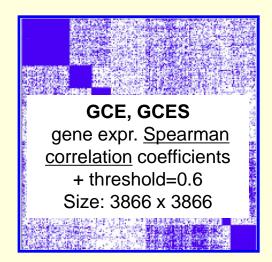
Data matrices

GCE: genes of any type, no singletons 8850 samples x 3866 genes

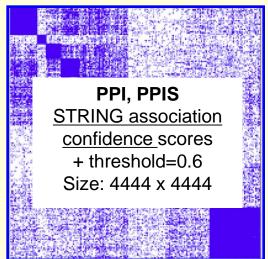
PPI: protein coding genes, no singletons; 8896 samples X 4444 genes GCES: genes of any type, including singletons; 8850 samples X 7091 genes

PPIS: protein coding genes, including singletons; 8850 samples x X 7091 genes

Adjacency matrices



GCE: gene co-expression

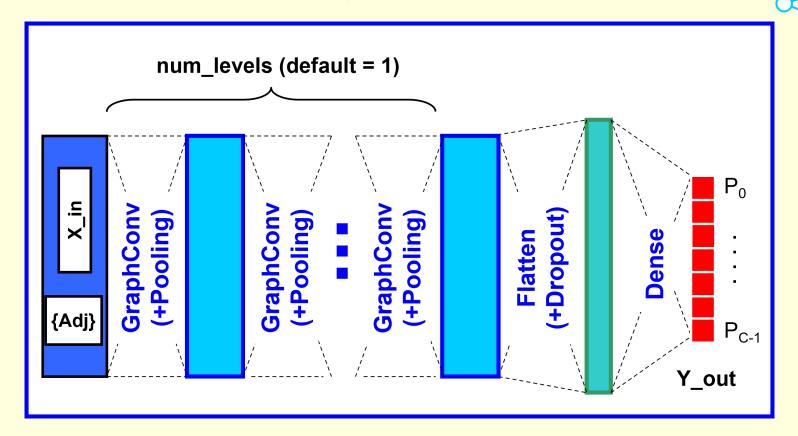


PPI: protein-protein interaction

The GCN_Cancer model

Spektral: https://graphneural.network

https://hpc.nih.gov/apps/GCN_Cancer.html



Features of the Keras implementation:

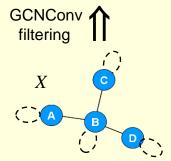
- classifies each sample as one of C=34 types
- supports GCNConv, **ChebConv** (=default) and Dense as the 1st layer in the network model
- allows for balancing of the number of training samples across different classes
- optionally, allows for Pooling, with two supported types of layers: MinCutPool and DiffPool
- optionally, allows for multiple levels of Filtering (+ Pooling)

Vanilla Graph Convolution: the GCNConv layer

degree matrix, normalized adjacency matrix

Kipf, T.N., Welling, M.: arXiv preprint (2016)

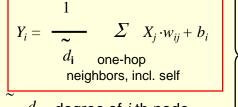
Spektral: https://graphneural.network



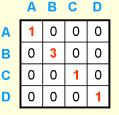
Y

В

C



d_i = degree of <i>i</i> -th node	٠,
incl. self-loop	



$$A = \{ a_{ij} \}$$

Adjacency matrix

$$D = diag\{\Sigma_j a_{ij}\}$$
 Degree matrix

C В

	A	В	C	D	
Α	1	1	0	0	
A B	1	1	1	1	
С	0	1	1	0	
D	0	1	0	1	
	Ã	=	A -	+ <i>I</i>	

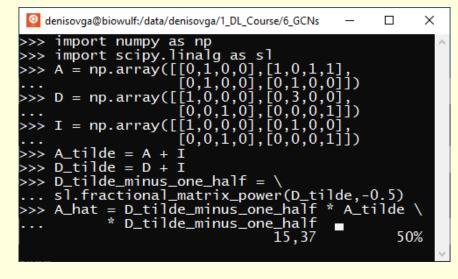
L		•	0	2
	~	= 1	D +	- <i>I</i>

	A	В	С	D			
A	0.5	0	0	0			
В	0	0.25	0	0			
С	0	0	0.5	0			
D	0	0	0	0.5			
\hat{A}							

$$Y = \hat{A} \cdot W \cdot X + b$$

where:
$$\hat{A} = \tilde{D}^{-1/2} \cdot \tilde{A} \cdot \tilde{D}^{-1/2}$$

Normalized adjacency GCNConv in the matrix form matrix $W = N \times N$ matrix of adjustable weights, where N = dim(X), and b = bias



Including self-loops

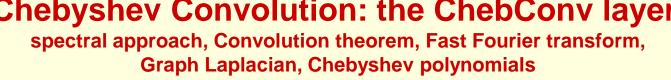
spektral.layers.GCNConv(num channels, activation=None, ...)

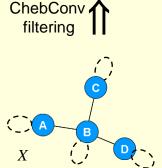
CONCLUSION:

GCNConv is a local transformation, involving current node and its one-hop neighbors

Chebyshev Convolution: the ChebConv layer

M.Defferard et al, arXiv preprint (2016)



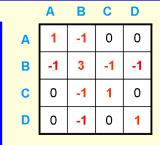


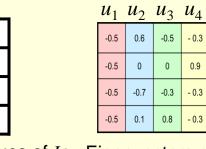
1D Convolution Regular Approach:
$$\longrightarrow_{W} W[i] \cdot X[n-i]$$

Spectral Approach: Convolution theorem: $W*X = F^{-1}\{F\{W\}\cdot F\{X\}\}$; $F\{\cdot\} = F$ ourier transform $sin(\cdot)$ and $cos(\cdot)$ are the eigenfunctions Fast Fourier transform: $X[n] = \sum_{k=0}^{\infty} \left(a_k \cos \frac{2\pi kn}{N} + b_k \sin \frac{2\pi kn}{N} \right)$ of the 1D Laplacian $L = -d^2/dn^2$

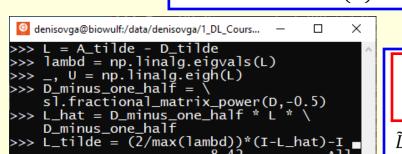
Graph **Convolution:**

$$X = N$$
-vector of all node features
Graph Fourier transform:
 $\hat{X} = F(X) = U^T X$
inverse: $X = F^{-1}(\hat{X}) = U\hat{X}$





all eigenvalues > 0, except one = 0



Graph Laplacian: Eigenvalues of
$$L$$
: Eigenvectors of L : $L = D - A$ $\lambda = \{\lambda_1, \lambda_2, \lambda_3, \lambda_4\}$ $U = [u_1, u_2, u_3, u_4]$

 $T^{(k)}(x) =$ Chebyshev polynomials: $Y = \sum_{k=1}^{n} T^{(k)}(\tilde{L}) \cdot W \cdot X + b$ k – degree, $T^{(0)}(x) = 1$, $T^{(1)}(x) = x$, $T^{(k+1)}(x) = 2x \cdot T^{(k)}(x) - T^{(k-1)}(x)$

 $\tilde{L} = (2/\lambda_{max}) \cdot L - I$ - normalized Graph Laplacian; $W = N \times N$ weights matrix

spektral.layers.ChebConv(channels, K=1, ...)

CONCLUSIONS:

- unlike GCNCony, ChebCony takes a spectral approach, which is nonlocal by nature
- ChebConv employs a K-hop neighborhood of a node to update its value

Classification error: using models trained on the original / imbalanced data

R.Ramirez et al., Frontiers in Physics (2020)

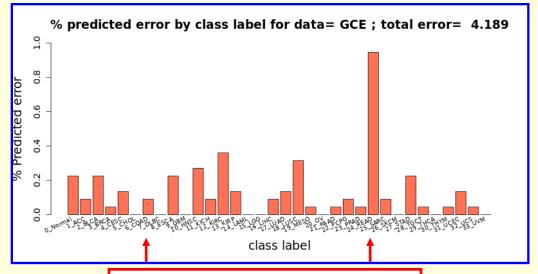
https://hpc.nih.gov/apps/GCN_Cancer.html



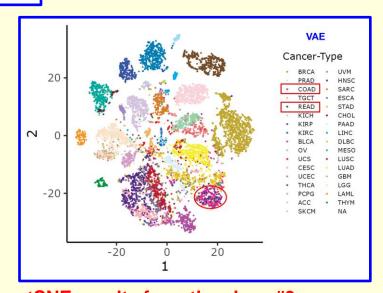
Data type	GCNNet	DenseNet	ChebNet, K=1	ChebNet, K=1 (orig. publication)
GCE	6.4%	4.32%	4.19%	5.77±0.146 %
GCES	9.28%	5.7%	4.5%	5.76±0.251%
PPI	6.44%	5.06%	5.02%	11.02±0.883%
PPIS	7.79%	5.09%	4.37%	5.39±0.107%

CONCLUSIONS:

- 1) DenseNet outperforms GCNNet
- 2) ChebNet performs the best
- 3) The ChebNet error is primarily due to misclassification of COAD / READ



6_COAD Colon adenocarcinoma 24_READ Rectum adenocarcinoma



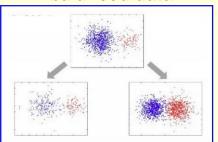
tSNE results from the class #3: COAD and READ are not well separable

Classification error: using preprocessed/balanced training data and ChebNet with Chebyshev polynomials of higher degree

SMOTE: N.V.Chawla, J. Artif. Intel. Res. 16 (2002) 321–357

SMOTE variants python package: G.Kovacs, SMOTE variants - Neurocomputing (2019)

Imbalanced data



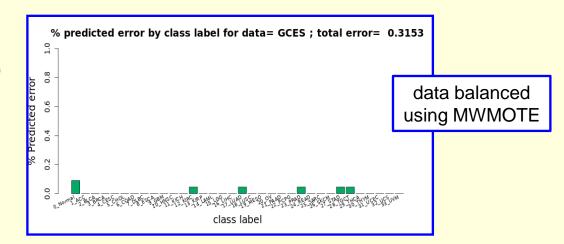
Undersampling Oversampling

- naïve balancing: duplicating randomly selected minority samples (easy to implement, but training is unstable)
- **SMOTE variants**: currently, total = 92
- the MWMOTE and LLE_SMOTE work particularly well for the TCGA data
- while other good choices may exist, most of the SMOTE variants do not help

Data type	ChebNet, K = 1	ChebNet, K=10	ChebNet, K=20	ChebNet, K=100
GCE	0.09%	0%	0%	0%
GCES	0.54%	0.32%	0.32%	0.32%
PPI	0.18%	0.14%	0.046%	0.046%
PPIS	0.54%	0.41%	0.32%	0.14%

0 errors

1 error



CONCLUSION:

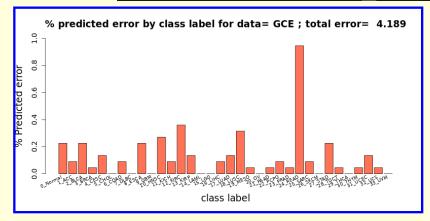
The error in multi-class prediction from the TCGA data can be reduced dramatically by

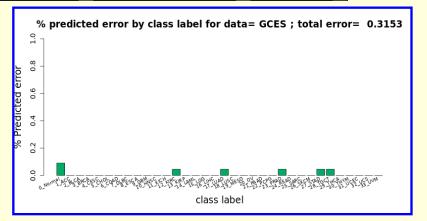
- balancing the # of training samples across multiple classes using MWMOTE or LLE_SMOTE
- using a ChebNet model that employs Chebyshev polynomials of higher degree (e.g. K ≥ 20)

How to run the GCN_Cancer app on Biowulf

https://hpc.nih.gov/apps/GCN_Cancer.html

```
denisovga@biowulf:/data/denisovga/1_DL_Course/6_GCNs
                                                                          ×
sinteractive --mem=120g --gres=gpu:p100:1,1scratch:100 \setminus
              --cpus-per-task=14
module load gcn_cancer
1s $GCN_CANCER_SRC
data.py models.py options.py predict.py preprocess.py train.py visualize.R
cp -r $GCN_CANCER_DATA/* .
preprocess.py -h
preprocess.py -d GCES -B -S MWMOTE
preprocess.py -d PPI -B
train.py
train.py
           -d GCE -D 0.1
train.pv
          -d GCES -K 100 -D 0.1 -B -S MWMOTE
           -d PPI -m DenseNet -D 0.1 -B
train.py
predict.py -h
predict.py -d GCE -D 0.1
predict.py -d GCES -K 100 -D 0.1 -B -S MWMOTE
predict.py -d PPI -m DenseNet -D 0.1 -B
visualize.R results/ChebNet.GCE.D_0.1.tsv
visualize.R results/chebnet.K_100.gces.D_0.1.B.S_MWMOTE.tsv
                                                             25,64
                                                                           A11
```







Summary



1) Intro using prototype/toy examples

- intro to the graph classification task and graph-related terminology
- GCNNet model requires a second input the adjacency matrix
- GCNNet vs MLP: Dense layer ≈ GCNConv layer with adjacency matrix of ones
- GCNConv vs Conv1D and Conv2D: Filtering and Pooling
- GCNConv is an analog of Conv1D / Conv2D with filter of size = 3
- imbalanced input data and the presence of singletons may reduce the classification accuracy
- graph provides additional knowledge about the data and imposes constraints on a model, which may results in more accurate class predictions as compared to the MLP

2) The GCN_Cancer application:

- two types of gene association in the GCN_Cancer data:
 gene co-expression (GCE) and protein-protein interaction (PPI)
- GCN_Cancer model outputs a vector of class probabilities
- the GCNConv layer implements a vanilla graph convolution
- the meaning of hyperparamreter K in the ChebConv layer
- the techniques for data balancing: naïve balancing vs SMOTE variants
- data balancing with MWMOTE or LLE_SMOTE dramatically reduces the classification error
- the ChebConv layer that employs Chebyshev polynomials of higher degree allows further reduction in the classification error



BACKUP SLIDES

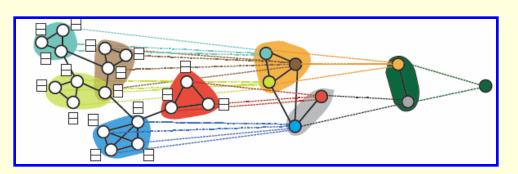
Pooling layers: DiffPool and MinCutPool

DiffPool: R.Ying et al, arXiv:1806.08804 (2019)

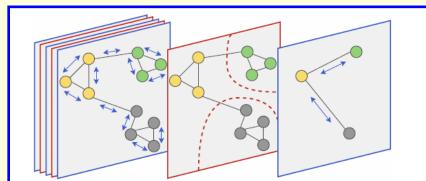
MinCutPool: F.M.Blanchi et al, arXiv:1907.00481 (2020)



Differentiable Pooling (agglomerative)



MinCutPool (divisive)



- iteratively **aggregate** "close" nodes
- compute a hierarchical representation of the graph
- stop when the target number of clusters is reached

partition nodes into a specified number C
 of clusters by removing the minimum # of links:

$$\frac{1}{K} \sum_{k=1}^{K} \frac{\text{(\# links within cluster } k)}{\text{(\# links between cluster } k)} \rightarrow \max$$

$$\text{and the rest of the graph)}$$

Graph convolution with polynomial filters

https://distill.pub/2021/understanding-gnns https://csustan.csustan.edu/~tom/Clustering/GraphLaplacian-tutorial.pdf



- 1) Polynomials of Laplacian $p_w(L) = w_0 I_n + w_1 L + w_2 L^2 + \ldots + w_d L^K = \sum_{i=0}^K w_i L^i$. can be though of as the equivalents of filters in CNNs
- 2) More specifically, if X = a vector of features of all nodes in a graph, then the convolved vector Y will be: $Y = p_w(L) X$. In particular, when K = 1, the v-th component of Y will be computed based on X_v and its **one-hop neighbors**:

$$Y_v = D_v X_v - \sum_{u \in \mathcal{N}(v)} X_u$$

- 3) Likewise, it can be shown that for any K, the v-th component of Y will be computed based on the features of the nodes located at distance **no more then** K-hops away from the node v. This means that **polynomial filters are localized.**
- 4) ChebNet further refines this idea of polynomial filters by looking at polynomial filters of the form

$$p_w(L) = \sum_{i=1}^d w_i T_i(\tilde{L})$$
 $\tilde{L} = \frac{2L}{\lambda_{\max}(L)} - I_n.$

5) Eigenvalues of L are all non-negative, and one of them is always zero. \tilde{L} is effectively a scaled-down version of L, with **eigenvalues guaranteed to be in the range [-1, 1]**

The SMOTE variants LLE_SMOTE and MWMOTE

LLE SMOTE: J.Wang et al., ICSP 2006

MWMOTE: S.Barua et al, IEEE Trans. On Knowledge and Data Eng. (2014)

SMOTE variants: SMOTE, distance_SMOTE, SMOTE_D,

SMOTE_TomekLinks, LLE_SMOTE, MWMOTE,

NT_SMOTE, OUPS, Gazzah, ROSE, ...

(total = 92)

LLE SMOTE: Locally Linear Embedding SMOTE

Select neighbors Reconstruct with W_{ik} X_k linear weights Map to embedded coordinates

MWMOTE: Majority Weighted Minority Oversampling Technique

